

IFW

**TRANSMITTAL LETTER**  
**(General - Patent Pending)**

Docket No.  
**02410346AA**

In Re Application Of: **H. Hashizume, et al.**

Serial No.  
**10/702,043**

Filing Date  
**Novemer 6, 2003**

Examiner  
**Unassigned**

Group Art Unit  
**2872**

Title: **WAVELENGTH SELECTIVE OPTICAL DEVICE AND METHOD OF TUNING A WAVELENGTH CHARACTERISTIC OF THE SAME**

**TO THE COMMISSIONER FOR PATENTS:**

Transmitted herewith is:

**INFORMATION DISCLOSURE STATEMENT; PTO-1449; 2 DOCS**

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **50-2041** as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

  
Signature

Dated: **May 12, 2004**

**Michael E. Whitham**  
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**Customer number: 30743**

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*Signature of Person Mailing Correspondence*

**HAND DELIVERED**

*Typed or Printed Name of Person Mailing Correspondence*

CC:



02410346AA

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

H. Hashizume, et al.

Serial No.: 10/702,043

Group Art Unit: 2872

Filed: November 6, 2003

Examiner: Unassigned

For: WAVELENGTH SELECTIVE OPTICAL DEVICE AND METHOD OF  
TUNING A WAVELENGTH CHARACTERISTIC OF THE SAME

Commissioner of Patents and Trademarks  
P.O. Box 1450  
Alexandria, Virginia 22313

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Under the provisions of 37 C.F.R. §1.97 through §1.99 and pursuant to applicant's duty of disclosure under 37 C.F.R. §1.56, applicant respectfully brings the following documents, listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. Copies of the listed documents are provided herewith for the convenience of the Examiner.

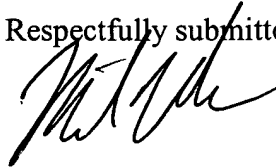
This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

It is respectfully requested that the listed references be considered by the Examiner and formally made of record in this application.

02410346AA

Please charge any deficiencies in fees and credit any overpayment of fees to  
Attorney's Deposit Account No. 50-2041.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Michael E. Whitham', written over the typed name.

Michael E. Whitham

Registration No.: 32,635

WHITHAM, CURTIS & CHRISTOFFERSON, P.C.  
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FORM PTO-1449 (Modified)

ATTY. DOCKET NO.  
02410346AASERIAL NO.  
10/702,043LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENTAPPLICANT:  
H. Hashizume, et al.

(Use several sheets if necessary)

FILING DATE:  
November 6, 2003GROUP:  
2872

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
	6,023,542	2/8/00	J. Pan, et al.			10/8/97
	5,555,330	9/10/96	J. Pan, et al.			12/21/94

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant